

Nathaniel Lalone

Partner

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Practices

FOCUS: Financial Markets and Funds
Broker-Dealer Regulation
Capital Markets
Futures and Derivatives
International
Investment Management and Funds
Proprietary Trading Firms
Structured Finance and Securitization
Structured Products
United Kingdom

Industries

Finance and Financial Markets

Education

JD, Harvard Law School
PhD, University of Cambridge
AB, Harvard University, *magna cum laude*
MPHIL, University of Cambridge

Bar Admissions

England and Wales
New York

Community Involvements

American Bar Association
Futures Industry Association (FIA), Law & Compliance Division

Nathaniel Lalone is a dual-qualified lawyer (England, New York) and a rising leader in the field of providing cross-border regulatory and compliance advice to market infrastructures as well as sell- and buy-side firms active in the over-the-counter (OTC) derivatives, futures and securities markets. Nate is sought out by clients for his ability to manage their legal and regulatory risks while helping them achieve their commercial goals.

Innovative solutions to the most complex questions

Since the financial crisis, regulation of financial markets and products has increased considerably, which has challenged existing market structures while prompting a wave of innovations and new ways of thinking. Incumbents and disruptors are both competing to bring groundbreaking solutions to market while contending with overlapping, and sometimes contradictory, legal and compliance obligations. Drawing on his vast cross-border experience, and his deep understanding of both US and UK/EU law and regulation, Nate is able to draw simplicity out of complexity and to provide clients with commercially sensible solutions to cutting-edge, and often first-of-their kind, questions.

Nate received his master's degree and doctorate from Cambridge, where he studied EU politics with a focus on financial services. He was a 2004 Fulbright Scholar to the European Union.

Recognitions

Recognized or listed in the following:

- HFM European Hedge Fund Services Award
 - 2015
- JD Supra Reader's Choice - Top Author
 - Emerging Topic: UK Brexit, 2018–2019
 - Finance & Banking, 2020
- *National Law Review*
 - Go-To Thought Leader Article of the Year Award, 2019

"Dual US and UK-qualified 'industry veteran' Nathaniel Lalone has an excellent reputation among clearing firms and 'is able to compare

Katten **the two regimes (US and EU/UK) and**